PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Yaver et al.

rney Docket No.: 10351.200-US

Confirmation No: 8797

Serial No.: 10/716,793

Group Art Unit: 1653

Filed: November 18, 2003

Examiner: To be assigned

For: Promoter Variants For Expressing Genes In A Fungal Cell

## RESPONSE TO NOTICE TO COMPLY WITH SEQUENCE REQUIREMENTS

Mail Stop Sequence (Michele K. Joike AU 1636) U.S. Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

This paper is in response to the Notice to Comply with Sequence Requirements dated January 13, 2006.

Applicants therefore enclose a computer readable form of the Sequence Listing and paper copy. The content of the paper copy and of the enclosed computer readable form is the same. This submission contains no new matter.

Applicants request that the new Sequence Listing be entered into the specification in place of the old Sequence Listing.

The Examiner is hereby invited to contact the undersigned by telephone if there are any questions concerning this amendment or application.

Respectfully submitted,

Date: February 13, 2006

Rebert L. Starnes, Reg. No. 41,324

Novozymes, Inc. 1445 Drew Avenue Davis, CA 95616 (530) 757-8100

FEB 1 5 2006 Attorney

ney Docket No.: 10351.200-US

**PATENT** 

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## **CERTIFICATE OF MAILING UNDER 37 CFR 1.8(a)**

Mail Stop Sequence Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

I hereby certify that the attached correspondence comprising:

- 1. Response to Notice Comply with Sequence Rules
- 2. Amendment
- 3. Sequence Listing
- 4. Disk Containing Sequence Listing
- 5. Copy of Notice to Comply with Sequence Rules

is being deposited with the United States Postal Service as first class mail in an envelope addressed to the address indicated above on February 13, 2006.

Seleste Buriani

(name of person mailing paper)

(signature of person mailing paper)



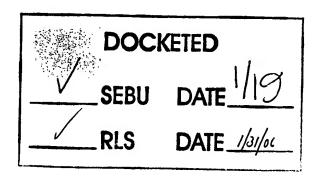
## United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FI	LING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/716,793		1/18/2003	Debbie Yaver	10351.200-US 8797	
25907	7590	01/13/2006		EXAMINER	
NOVOZYN 1445 DREW		· ·	JOIKE, MICHELE K		
DAVIS, CA				ART UNIT PAPER NUMBER	
				1636	

DATE MAILED: 01/13/2006

Please find below and/or attached an Office communication concerning this application or proceeding.



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APPLICATION NO./ FILING DATE FIRST NAMED INVENTOR / ATTORNEY DOCKET NO. PATENT IN REEXAMINATION

EXAMINER

**ART UNIT** 

PAPER

20060105

DATE MAILED:

Please find below and/or attached an Office communication concerning this application or proceeding.

#### **Commissioner for Patents**

This application contains sequence disclosures that are encompassed by the definitions for nucleotide and/or amino acid sequences set forth in 37 CFR 1.821(a)(1) and (a)(2). However, this application fails to comply with the requirements of 37 CFR 1.821 through 1.825 for the reason(s) set forth below or on the attached Notice To Comply With Requirements For Patent Applications Containing Nucleotide Sequence And/Or Amino Acid Sequence Disclosures.

Page 41, line 27, of the Specification and claims 10 and 62 have nucleotide sequences that are greater than ten nucleotides, but have no sequence identifier. These sequences are also not listed in the Sequence Listing.

Applicant is given ONE MONTH, or THIRTY DAYS, whichever is longer, from the mailing date of this letter within which to comply with the sequence rules, 37 CFR 1.821 - 1.825.

Failure to comply with these requirements will result in ABANDONMENT of the application under 37 CFR 1.821(g). Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). In no case may an applicant extend the period for reply beyond the SIX MONTH statutory period.

Direct the reply to the undersigned. Applicant is requested to return a copy of the attached Notice to Comply with the reply.

PRIMARY EXAMERER

Michele K. Joike, Ph.D. AU 1636

OIPE			
O' ZZZZZZZZZZZZZZZZZZZZZZZZZZZZZZZZZZZZ	Application No.	Applicant(s)	
FEB 1 5 2006 Notice to Comply	10/716,793	Yaver et al	
isotice to comply	Examiner	Art Unit	
To to the second	Michele K. Joike, Ph.D.	1636	
NOTICE TO COMPLY WITH REQUIREMEN NUCLEOTIDE SEQUENCE AND/OR AMINO			
Applicant must file the items indicated below within the avoid abandonment under 35 U.S.C. § 133 (extension			
The nucleotide and/or amino acid sequence disclosure for such a disclosure as set forth in 37 C.F.R. 1.821 -			nents
1. This application clearly fails to comply with the directed to the final rulemaking notice published a the effective filing date is on or after July 1, 1998, 1998) and 1211 OG 82 (June 23, 1998).	t 55 FR 18230 (May 1, 1990	), and 1114 OG 29 (May 15, 1990).	
<ul><li>2. This application does not contain, as a separate required by 37 C.F.R. 1.821(c).</li></ul>	e part of the disclosure on pa	aper copy, a "Sequence Listing" as	
3. A copy of the "Sequence Listing" in computer re 37 C.F.R. 1.821(e).	eadable form has not been s	ubmitted as required by	
4. A copy of the "Sequence Listing" in computer recomputer readable form does not comply with the attached copy of the marked -up "Raw Sequence	requirements of 37 C.F.R.		:he
5. The computer readable form that has been filed unreadable as indicated on the attached CRF Disl submitted as required by 37 C.F.R. 1.825(d).			st be
☐ 6. The paper copy of the "Sequence Listing" is not as required by 37 C.F.R. 1.821(e).	t the same as the computer	readable from of the "Sequence Listi	ing"
□ 7. Other: Sequences are listed with no sequence ident	ifier.		
Applicant Must Provide:  ☑ An initial or substitute computer readable form (Cf	RF) copy of the "Sequence L	.isting".	
An initial or substitute paper copy of the "Sequenc specification.	e Listing", as well as an am	endment directing its entry into the	
A statement that the content of the paper and conno new matter, as required by 37 C.F.R. 1.821(e) or 1			clude
For questions regarding compliance to these	requirements, please of	ontact:	
For Rules Interpretation, call (703) 308-4216	40		
For CRF Submission Help, call (703) 308-42 Patentln Software Program Support	12		
Technical Assistance			
To Purchase Patentln Software	703-306-2600		
PLEASE RETURN A COPY OF THIS NOTIC	E WITH YOUR REPLY	(	